DRAFT Audit Committee Work Programme 2016-17

Key: One-off Items

Topic	Monday 27.06.16 at 10.30am (CR4)	Wednesday 19.09.16 (Workshop 12.30-1.30pm) at 2pm (CR4)	Tuesday 29.11.16 at 2pm (CR4)	Tuesday 24.01.17 at 2pm (CR4)	Tuesday 14.03.17 at 1.30pm (CR1)	Tuesday 20.06.17 at 2pm (CR4)
Wales Audit Office	Corporate Assessment Update	Corporate Assessment Update				
	Annual Improvement Report *(Deferred to Sept)*				Annual Financial Audit Outline	Annual Improvement Report
	Regulatory Programme Letter/ Update Performance Audit Work & Fees 2016-17	Audit of Financial Statement Report for City of Cardiff Council (ISA260)				Regulatory Prog. Update & Fee information '17-18
		Audit of Financial Statement Report - Cardiff & Vale of Glamorgan Pension Fund (ISA260)				
		[Cardiff & Vale of Glamorgan Pension Fund Statement of Accounts 2015/16 (contained within SOA)]				
		Annual Improvement Report			Cardiff & Vale Pension Fund Audit Plan	
	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates	Report Progress Updates
Treasury Management	Performance Report	Performance Report	Performance Report	Performance Report	Performance Report	Performance Report
		Annual Report	Half Year Report		Treasury Mgmt Practices	
				Draft Strategy '17-18	Strategy '17-18	
Finance	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues	Financial Update including Resilience Issues
	Draft Statement of Accounts for '15-16 (including the AGS)	Draft Statement of Accounts for '15-16 (including the AGS)			Draft Statement of Accounts/AGS & report any changes in accounting policy	Draft Statement of Accounts '15-16 (including the AGS)
		Audit of the Financial Stat't Report – Cardiff & Vale of Glamorgan Pension Fund (ISA260)				
		Welsh Pool Pension Fund Governance Aspects				
	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies	WAO Tracker/Other Studies
Internal Audit		Progress update (to include Benchmarking & Comparative Data Matching)	Half Yearly Progress Report	Progress Update	Progress Update	Progress Update
	Internal Audit Annual Report '15-16	Progress Update (Highways Audit Feedback)		Draft Audit Strategy '17-18	Audit Strategy '17-18	Internal Audit Annual Report '16-17
		VFM Exercise – Supply Teachers				

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Governance and Risk Management	Audit Committee Annual Rep. '15-16			Audit Committee Annual Report Discussion	Audit Committee Draft Annual Rep. '16-17	Audit Committee Annual Rep. '16-17
	Senior Management Assurance Statement Review – Feedback		Senior Management Assurance Statement Review		Interim Position Senior Management Assurance Statement Review	Senior Management Assurance Statement Review – Feedback – Final Position
				AGS '16-17 Action Plan (Mid-Year)	Draft Annual Governance Statement	
	Corporate Risk Register (Year-End)	Risk Management Update	Corporate Risk Register (Mid-Year) [to include Corporate Risk Map]			Corporate Risk Register (Year-End) [to include Corporate Risk Map]
			Self-Assessment Feedback/Action Plan		Audit Committee Self -Assessment Feedback/Action Plan	
				Audit /Scrutiny Protocol		
Operational matters / Key risks	~~Director of Education - Annual Report on Governance (including Balances) & Deficits in Schools~~ (Deferred to Sept.)	Director of Education - Annual Report on Governance (including Balances) & Deficits in Schools	Director Social Services (Financial Position)	Links between the ODP and Medium Term Financial Plan	Director City Operations Update on Highway Payroll Review	
		Director City Operations – Response to Internal Audit Report (Highways Payroll Follow up Review		Building Maintenance Framework		